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| FORM PTO-1449   | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTORNEY DOCKET:<br>MVA1001USC2      | SERIAL NO.    |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br><br>(Use several sheets if necessary) |  | APPLICANTS<br>Rudy Mazzocchi, et al. |               |
|   |  | FILING DATE<br>January 18, 2002      | GROUP<br>3731 |

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10/051565  
01/16/02

### U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT NUMBER |   |   |   |   |   |   | DATE    | NAME          | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|---------------------|-----------------|---|---|---|---|---|---|---------|---------------|-------|----------|-------------------------------|
| VB                  | 2               | 2 | 8 | 1 | 4 | 4 | 8 | 04/1942 | Mathey        |       |          |                               |
|                     | 3               | 3 | 3 | 4 | 6 | 2 | 9 | 08/1967 | Cohn          |       |          |                               |
|                     | 3               | 5 | 4 | 0 | 4 | 3 | 1 | 11/1970 | Mobin-Uddin   |       |          |                               |
|                     | 3               | 8 | 6 | 8 | 9 | 5 | 6 | 03/1975 | Alfidi et al. |       |          |                               |
|                     | 3               | 9 | 5 | 2 | 7 | 4 | 7 | 04/1976 | Kimmel, Jr.   |       |          |                               |
|                     | 3               | 9 | 9 | 6 | 9 | 3 | 8 | 12/1976 | Clark, III    |       |          |                               |
|                     | 4               | 4 | 2 | 5 | 9 | 0 | 8 | 01/1984 | Simon         |       |          |                               |
|                     | 4               | 4 | 9 | 4 | 5 | 3 | 1 | 01/1985 | Gianturco     |       |          |                               |
|                     | 4               | 5 | 0 | 3 | 5 | 6 | 9 | 03/1985 | Dotter        |       |          |                               |
| VB                  | 4               | 5 | 5 | 3 | 5 | 4 | 5 | 11/1985 | Maass et al.  |       |          |                               |

### FOREIGN PATENT DOCUMENTS

|    | DOCUMENT NUMBER | DATE     | COUNTRY      | CLASS | SUBCLASS | TRANSLATION<br>YES NO |  |
|----|-----------------|----------|--------------|-------|----------|-----------------------|--|
| VB | 0 380 666       | 04/89    | Europe       |       |          |                       |  |
| VB | 0 547 530       | 06/93    | Europe       |       |          |                       |  |
| VB | 2 020 557       | 11/79    | GB           |       |          |                       |  |
| VB | 2 527 301       | 11/83    | France       |       |          |                       |  |
| VB | 2 641 692       | 07/90    | France       |       |          |                       |  |
| VB | 93/10714        | 06/93    | WIPO         |       |          |                       |  |
| VB | 94/05372        | 03/94    | PCT          |       |          |                       |  |
| VB | DD 233 303      | 02/86    | East Germany |       |          |                       |  |
| VB | WO 96/01591     | 01/25/96 | PCT          |       |          |                       |  |

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|    |  |   |   |
|----|--|---|---|
| VB |  | ✓ | Castaneda, et al., Migration of a Kimray-Greenfield Filter to the Right Ventricle, <u>Radiology</u> , December 1983, pp. 687-690  |
|    |  | ✓ | Allison, et al., article entitled: Therapeutic Embolization, <u>British Journal of Hospital Medicine</u> , December 1978          |
|    |  | ✓ | Palestrant, et al. article entitled: Comparative <i>In Vitro</i> Evaluation of the Nitinol Inferior Vena Cava Filter, pp. 351-355 |
| VB |  | ✓ | Duerig, et al., Superelastic Nitinol for Medical Devices, <u>Medical Plastics and Biomaterials</u> , March/April 1997, pp. 30-43  |

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|---------------------|-----------------|---|---|---|---|---|---|---------|-------------------------|-------|----------|-------------------------------|
| VB                  | 4               | 5 | 7 | 2 | 1 | 8 | 6 | 02/1986 | Gould et al.            |       |          |                               |
|                     | 4               | 6 | 1 | 9 | 2 | 4 | 6 | 10/1986 | Molgaard-Nielsen et al. |       |          |                               |
|                     | 4               | 6 | 4 | 3 | 1 | 8 | 4 | 02/1987 | Mobin-Uddin             |       |          |                               |
|                     | 4               | 6 | 4 | 9 | 9 | 2 | 2 | 03/1987 | Wiktor                  |       |          |                               |
|                     | 4               | 6 | 5 | 0 | 4 | 6 | 6 | 03/1987 | Luther                  |       |          |                               |
|                     | 4               | 6 | 5 | 5 | 7 | 7 | 1 | 04/1987 | Wallsten                |       |          |                               |
|                     | 4               | 6 | 5 | 5 | 7 | 7 | 2 | 04/1998 | De Liotta et al.        |       |          |                               |
|                     | 4               | 6 | 6 | 5 | 9 | 0 | 6 | 05/1987 | Jervis                  |       |          |                               |
|                     | 4               | 6 | 8 | 1 | 1 | 1 | 0 | 07/1987 | Wiktor                  |       |          |                               |
|                     | 4               | 6 | 9 | 0 | 6 | 8 | 4 | 09/1987 | McGreevy et al.         |       |          |                               |
|                     | 4               | 6 | 9 | 9 | 6 | 1 | 1 | 10/1987 | Bowden                  |       |          |                               |
|                     | 4               | 7 | 2 | 3 | 5 | 4 | 9 | 02/1988 | Wholey et al.           |       |          |                               |
|                     | 4               | 7 | 2 | 7 | 8 | 7 | 3 | 03/1988 | Mobin-Uddin             |       |          |                               |
|                     | 4               | 7 | 3 | 2 | 1 | 5 | 2 | 03/1988 | Wallsten et al.         |       |          |                               |
|                     | 4               | 7 | 3 | 3 | 6 | 6 | 5 | 03/1988 | Palmaz                  |       |          |                               |
| VB                  | 4               | 7 | 9 | 0 | 8 | 1 | 2 | 12/1988 | Hawkins, Jr. et al.     |       |          |                               |

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|                 |      |         |       |          |                       |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|    |  |   |   |
|----|--|---|---|
| VB |  | ✓ | Dotter et al., "Transluminal Expandable Nitinol Coil Stent Grafting: Preliminary Report", <u>Radiology</u> 147:259-260, 4/83      |
|    |  | ✓ | Schatz, et al., "Balloon-expandable intracoronary stents in the adult dog", <u>Circulation</u> , Vol. 76, No. 2, 8/87:450-457     |
|    |  | ✓ | Palmaz, et al. "Atherosclerotic Rabbit Aortas: Expandable Intraluminal Grafting", <u>Radiology</u> 1986; 160:723-726              |
|    |  | ✓ | Rosseau et al., "Self-expanding Endovascular Prosthesis: An Experimental Study", <u>Radiology</u> 1987; 164:709-714               |
|    |  | ✓ | Duprat, Jr. et al., "Self-expanding Metallic Stents for Small Vessels: An Experimental Study", <u>Radiology</u> 1987; 162:469-472 |
| VB |  | ✓ | Sweden Now, "When hope is all in vein", 1988  |

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|---------------------|-----------------|---|---|---|---|---|---|---------|---------------------|-------|----------|-------------------------------|
| VB                  | 4               | 7 | 9 | 3 | 3 | 4 | 8 | 12/1988 | Palmaz              |       |          |                               |
|                     | 4               | 7 | 9 | 4 | 9 | 2 | 8 | 01/1989 | Kletschka           |       |          |                               |
|                     | 4               | 8 | 0 | 0 | 8 | 8 | 2 | 01/1989 | Gianturco           |       |          |                               |
|                     | 4               | 8 | 1 | 7 | 6 | 0 | 0 | 04/1989 | Herms et al.        |       |          |                               |
|                     | 4               | 8 | 3 | 0 | 0 | 0 | 3 | 05/1989 | Wolff et al.        |       |          |                               |
|                     | 4               | 8 | 4 | 8 | 3 | 4 | 3 | 07/1989 | Wallsten et al.     |       |          |                               |
|                     | 4               | 8 | 5 | 6 | 5 | 1 | 6 | 08/1989 | Hillstead           |       |          |                               |
|                     | 4               | 8 | 7 | 3 | 9 | 7 | 8 | 10/1989 | Ginsburg            |       |          |                               |
|                     | 4               | 8 | 8 | 6 | 0 | 6 | 2 | 12/1989 | Wiktor              |       |          |                               |
|                     | 4               | 9 | 2 | 1 | 4 | 8 | 4 | 05/1990 | Hillstead           |       |          |                               |
|                     | 4               | 9 | 2 | 6 | 8 | 5 | 8 | 05/1990 | Gifford, III et al. |       |          |                               |
|                     | 4               | 9 | 3 | 5 | 0 | 6 | 8 | 06/1990 | Duerig              |       |          |                               |
|                     | 4               | 9 | 6 | 9 | 8 | 9 | 1 | 11/1990 | Gewertz             |       |          |                               |
|                     | 4               | 9 | 8 | 4 | 5 | 8 | 1 | 01/1991 | Stice               |       |          |                               |
|                     | 4               | 9 | 9 | 0 | 1 | 5 | 6 | 02/1991 | Lefebvre            |       |          |                               |
|                     | 4               | 9 | 9 | 1 | 6 | 0 | 2 | 02/1991 | Amplatz et al.      |       |          |                               |
|                     | 4               | 9 | 9 | 8 | 5 | 3 | 9 | 03/1991 | Delsanti            |       |          |                               |
|                     | 5               | 0 | 0 | 2 | 5 | 6 | 0 | 03/1991 | Machold et al.      |       |          |                               |
|                     | 5               | 0 | 1 | 6 | 8 | 0 | 8 | 05/1991 | Heil, Jr. et al.    |       |          |                               |
|                     | 5               | 0 | 2 | 6 | 3 | 7 | 7 | 06/1991 | Burton et al.       |       |          |                               |
|                     | 5               | 0 | 3 | 4 | 0 | 0 | 1 | 07/1991 | Garrison et al.     |       |          |                               |
|                     | 5               | 0 | 4 | 1 | 0 | 9 | 3 | 08/1991 | Chu                 |       |          |                               |
|                     | 5               | 0 | 5 | 3 | 0 | 0 | 8 | 10/1991 | Bajaj               |       |          |                               |
| VB                  | 5               | 0 | 5 | 9 | 2 | 0 | 5 | 10/1991 | El-Nounou et al.    |       |          |                               |

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### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|          |                    |   |
|----------|--------------------|---|
| VB       |                    | Dotter, "Transluminally-placed Coilspring Endarterial Tube Grafts, Long-term Patency in Canine Popliteal Artery", Investigative Radiology, Vol. 4, Sept.-Oct., 1969:329-332 |
| EXAMINER | <i>[Signature]</i> | DATE CONSIDERED 02/04/05  |

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